

# Cast-as-Intended Verification in Electronic Elections Based on Oblivious Transfer

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# Introduction

# Cast-As-Intended Verification

Code Sheet Nr. 291	
Candidates	Codes
Alice	7449
Bob	8243
Charlie	9123



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# Existing Work I

Gjøsteen (VotID'11), Puiggalí, Guasch (VotID'11, EVOTE'12)

- ▶ Based on blinding the encrypted vote
- ▶ Implemented and tested in Norway
- ▶ Return codes transmitted by SMS
- ▶ Vote updating allowed

Galindo, Guasch, Puiggalí (VotID'15)

- ▶ Implemented and tested in Neuchâtel (Switzerland)
- ▶ Return codes displayed on voting platform
- ▶ Vote updating not allowed

# Existing Work II

Heiberg, Lipmaa, van Laenen (ESORICS'10)

- ▶ Based on proxy oblivious transfer
- ▶ Return codes transmitted over “postchannel”
- ▶ Vote updating allowed
- ▶ Well-formedness of ballot based on range proofs
- ▶ Only for 1-out-of- $n$  elections
- ▶ Generalization to  $k$ -out-of- $n$  elections very inefficient
- ▶ Inspiration for this paper

# Motivation

- ▶ Security properties of transmitting return codes
  1. The voting server does not learn the voter's selections
  2. The voting platform does not learn codes different from the voter's selections
- ▶ In cryptography, this is called an [oblivious transfer](#) (OT) problem
- ▶ [Motivation](#): Study existing OT schemes and apply them to cast-as-intended verification

# Oblivious Transfer

# Oblivious Transfer: $k$ -out-of- $n$

- ▶ A  $k$ -out-of- $n$  oblivious transfer is a protocol between a sender and a receiver
  - ▶ The sender has  $n$  messages  $\mathbf{m} = (m_1, \dots, m_n)$ ,  $m_i \in \{0, 1\}^\ell$
  - ▶ The receiver selects  $k$  indices  $\mathbf{s} = (s_1, \dots, s_k)$ ,  $s_i \in \{1, \dots, n\}$
  - ▶ Executing the protocol reveals  $\mathbf{m}_s = (m_{s_1}, \dots, m_{s_k})$  to the receiver
- ▶ Receiver privacy: the sender learns nothing about  $\mathbf{s}$
- ▶ Sender privacy: the receiver learns nothing about  $\mathbf{m}$  other than  $\mathbf{m}_s$

# OT-Scheme by Chu and Tzeng

- ▶ C. Chu and W. Tzeng, *Efficient k-out-of-n oblivious transfer schemes with adaptive and non-adaptive queries*, Workshop on Theory and Practice in Public Key Cryptography, 2005
- ▶ Their OT scheme consists of three algorithms:

$$\begin{aligned}\mathbf{a} &\leftarrow \text{Query}(\mathbf{s}, \mathbf{r}) \\ (\mathbf{b}, \mathbf{c}, d) &\leftarrow \text{Response}(\mathbf{a}, \mathbf{m}, r) \\ \mathbf{m}_s &\leftarrow \text{Open}(\mathbf{b}, \mathbf{c}, d, \mathbf{r})\end{aligned}$$

satisfying  $\mathbf{m}_s = \text{Open}(\text{Response}(\text{Query}(\mathbf{s}, \mathbf{r}), \mathbf{m}, r), \mathbf{r})$  for all possible inputs  $\mathbf{s}$ ,  $\mathbf{m}$ ,  $\mathbf{r}$ , and  $r$

# Public Parameters

- ▶ Subgroup  $\mathbb{G} \subset \mathbb{Z}_p^*$  of integers modulo  $p = 2q + 1$
- ▶ Generator  $g \in \mathbb{G} \setminus \{1\}$
- ▶  $\Gamma : \{1, \dots, n\} \rightarrow \mathbb{G}$
- ▶ Message length  $\ell$
- ▶ Collision-resistant hash function  $H : \mathbb{G} \rightarrow \{0, 1\}^\ell$

# Protocol Description

## Receiver

selects  $\mathbf{s} = (s_1, \dots, s_k)$

for  $j = 1, \dots, k$

- pick random  $r_j \in_R \mathbb{Z}_q$
- compute  $a_j = \Gamma(s_j) \cdot g^{r_j}$

$$\xrightarrow{\mathbf{a}=(a_1, \dots, a_k)}$$

for  $j = 1, \dots, k$

- compute  $k_j = H(b_j \cdot d^{-r_j})$
- compute  $m_{s_j} = c_{s_j} \oplus k_j$

## Sender

knows  $\mathbf{m} = (m_1, \dots, m_n)$

pick random  $r \in_R \mathbb{Z}_q$

for  $j = 1, \dots, k$

- compute  $b_j = a_j^r$
  - for  $i = 1, \dots, n$
  - compute  $k_i = H(\Gamma(i)^r)$
  - compute  $c_i = m_i \oplus k_i$
- compute  $d = g^r$

$$\xleftarrow{d} \begin{matrix} \mathbf{b}=(b_1, \dots, b_k) \\ \mathbf{c}=(c_1, \dots, c_n) \end{matrix}$$

# Properties

- ▶ Provably secure against malicious receiver and semi-honest sender (in the random oracle model)
  - ▶ Unconditional receiver privacy
  - ▶ Computational sender privacy under the CDH assumption
- ▶ Performance
  - ▶ Receiver:  $2k$  exponentiations ( $k$  can be precomputed)
  - ▶ Sender:  $n + k + 1$  exponentiations ( $n + 1$  can be precomputed)
  - ▶ Hence  $k$  online exponentiations for both sender and receiver
- ▶ Compatible with ElGamal encryption
  - ▶ Replace  $g$  by public key  $pk = g^{sk}$
  - ▶  $a_j = \Gamma(s_j) \cdot pk^{r_j}$  is left-hand side of ElGamal ciphertext:

$$(a_j, g^{r_j}) = Enc_{pk}(\Gamma(s_j), r_j)$$

# Overview of Vote Casting Process

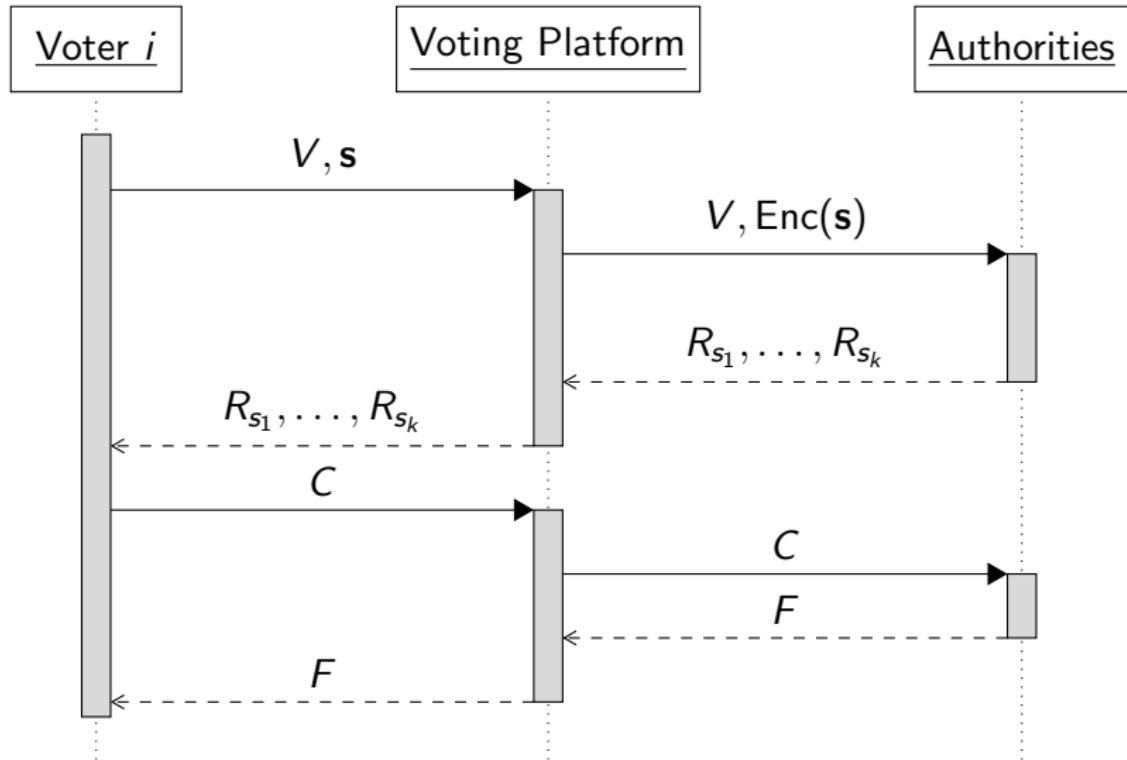
# General Setting

- ▶ Involved parties
  - ▶ Voters
  - ▶ Untrusted voting platforms
  - ▶ Trusted authorities (one or multiple)
- ▶ Communication over broadcast channel with memory (public bulletin board)
- ▶ Number of candidates  $n$
- ▶ Number of selections  $k \leq n$
- ▶ Voter's selection  $\mathbf{s} = (s_1, \dots, s_k)$ , for  $1 \leq s_1 < \dots < s_k \leq n$

# Code Sheets

- ▶ Code sheets are generated by the authorities and sent to voters by trusted postal mail
- ▶ Personalized information printed code sheets:
  - ▶ Voting code  $V$
  - ▶ Return codes  $R_1, \dots, R_n$  (next to candidate names)
  - ▶ Confirmation code  $C$
  - ▶ Finalization code  $F$
- ▶ We assume that voter authentication is solved, i.e., possession of code sheet implies eligibility

# Simplified Voting Protocol



# Main Challenges

- ▶ Transfer return codes  $R_{s_1}, \dots, R_{s_k}$  to voter such that ...
  - ▶ the authorities learn nothing about  $\mathbf{s}$
  - ▶ the voting platform learns no other  $R_j$  for  $j \notin \mathbf{s}$
- ▶ Convince authorities that  $\text{Enc}(\mathbf{s})$  contains a valid vote:
  - ▶  $\mathbf{s} = (s_1, \dots, s_k)$
  - ▶  $s_i \in \{1, \dots, n\}$
  - ▶  $s_i \neq s_j$

Usually implemented with non-interactive zero-knowledge proofs (by Groth, Lipmaa, Joaquim, etc.)

# Protocol Description

# Public parameters

- ▶ Subgroup  $\mathbb{G} \subset \mathbb{Z}_p^*$  of integers modulo  $p = 2q + 1$
- ▶ Public ElGamal key  $pk \in \mathbb{G} \setminus \{1\}$
- ▶  $\Gamma(i) = p_i$ , where  $p_i$  is the  $i$ -th prime number in  $\mathbb{G}$
- ▶  $\Gamma(\mathbf{s}) = \prod_{j=1}^k \Gamma(s_j) = \prod_{j=1}^k p_{s_j}$  for  $\mathbf{s} = (s_1, \dots, s_k)$
- ▶ Note that decoding  $\mathbf{s} = \Gamma^{-1}(\Gamma(\mathbf{s}))$  is efficient by factorization

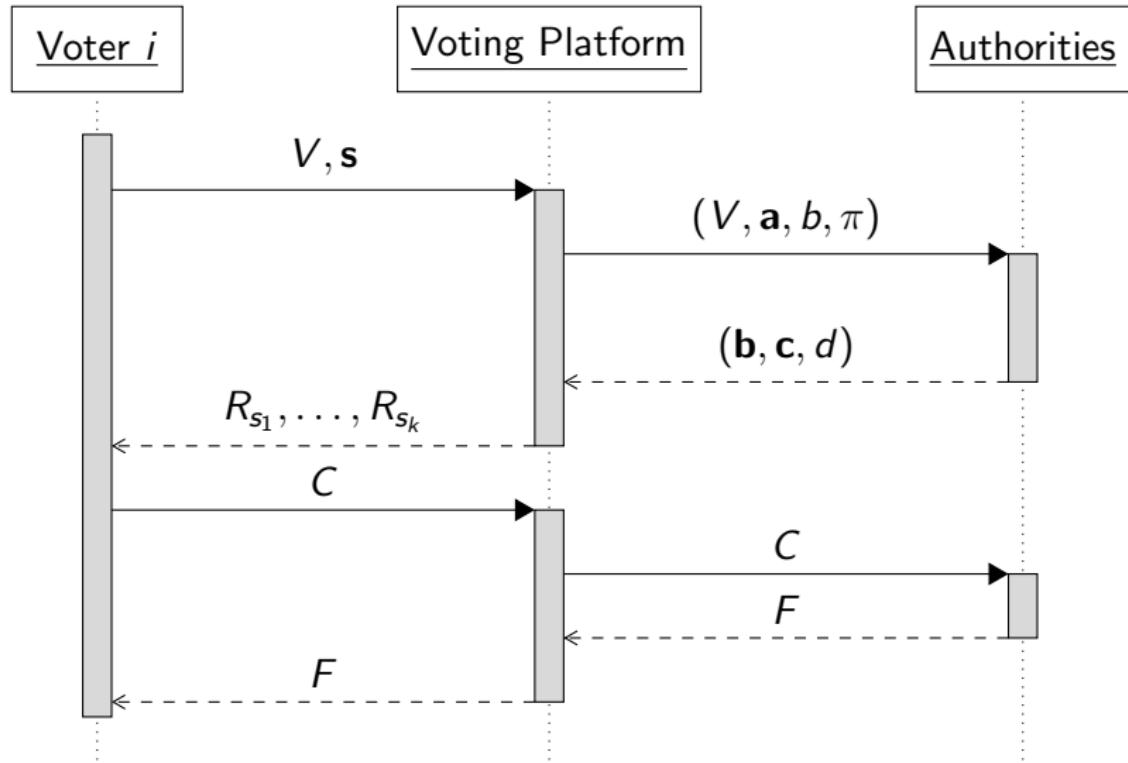
# Vote Casting

- ▶ The voter selects  $\mathbf{s} = (s_1, \dots, s_k)$  and enters  $V, \mathbf{s}$  into the voting platform
- ▶ The voting platform performs the following steps:
  - ▶ Pick random values  $\mathbf{r} = (r_1, \dots, r_k) \in_R \mathbb{Z}_q^k$
  - ▶ Compute  $\mathbf{a} = (a_1, \dots, a_k) = \text{Query}(\mathbf{s}, \mathbf{r})$
  - ▶ Compute  $b = g^r$  for  $r = \sum_{j=1}^k r_j$
  - ▶ Compute NIZKP  $\pi$  that proves knowledge of  $r$
  - ▶ Send ballot  $\beta = (V, \mathbf{a}, b, \pi)$  to authorities
- ▶ Note that  $(a, b) = \text{Enc}_{pk}(\Gamma(\mathbf{s}), r)$ , where
$$a = \prod_{j=1}^k a_j = \prod_{j=1}^k \Gamma(s_j) \cdot pk^{r_j} = \Gamma(\mathbf{s}) \cdot pk^{\sum_{j=1}^k r_j} = \Gamma(\mathbf{s}) \cdot pk^r,$$
is an ElGamal ciphertext

# Vote Confirmation

- ▶ Let  $\mathbf{m} = (R_1, \dots, R_n)$  be the return codes for a given voter
- ▶ The authorities perform the following steps:
  - ▶ Check  $V$  and  $\pi$ , abort in case checks fail
  - ▶ Pick random value  $r \in_R \mathbb{Z}_q$
  - ▶ Compute  $(\mathbf{b}, \mathbf{c}, d) = \text{Response}(\mathbf{a}, \mathbf{m}, r)$
  - ▶ Send response  $(\mathbf{b}, \mathbf{c}, d)$  to voting platform
- ▶ The voting platform gets  $(R_{s_1}, \dots, R_{s_k}) = \text{Open}(\mathbf{b}, \mathbf{c}, d, \mathbf{r})$  and displays them to the voter
- ▶ If the displayed return codes match with the code sheet, the voter enter the confirmation code  $C$  to the voting platform, which sends  $C$  to the authorities
- ▶ If the confirmation code is correct, the authorities respond with the finalization code  $F$

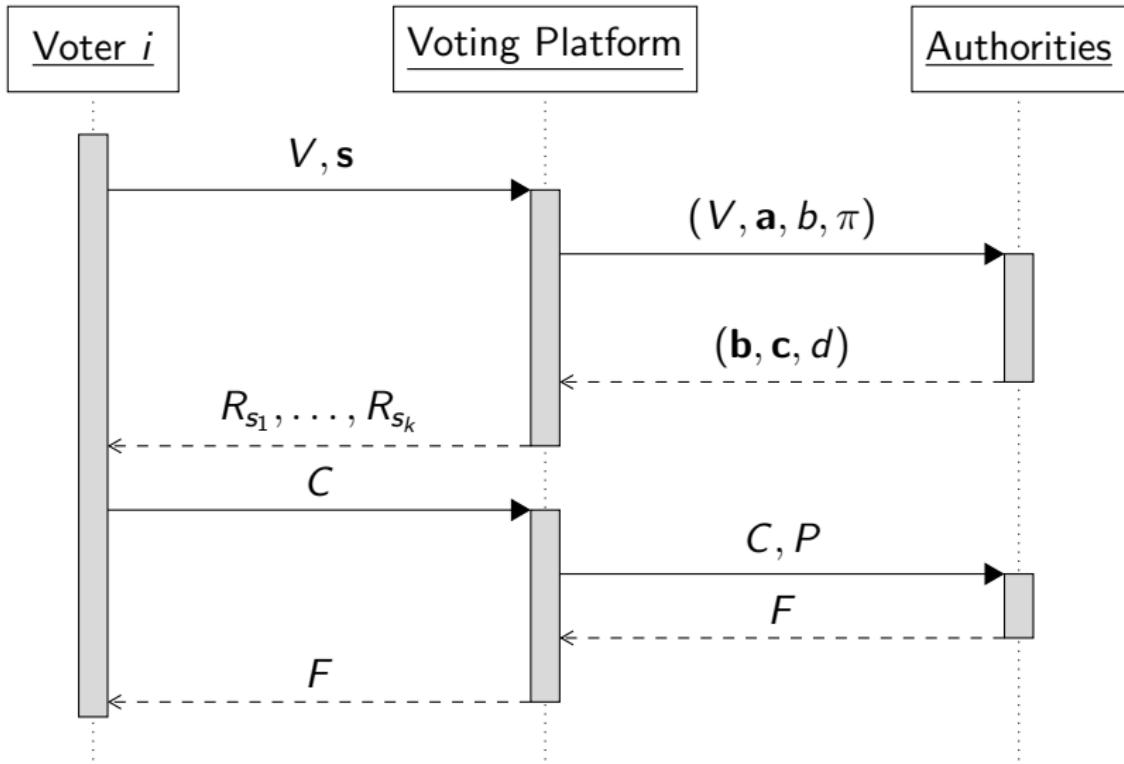
# Less Simplified Voting Protocol



# Vote Validation

- ▶ Convince authorities that  $(a, b) = \text{Enc}_{pk}(\Gamma(\mathbf{s}), r)$  contains valid vote  $\mathbf{s}$
- ▶ The authorities generate return codes  $(R_1, \dots, R_n)$  as follows:
  - ▶ Pick random polynomial  $p(X) = \sum_{i=0}^{k-1} a_i X^i$  of degree  $k - 1$
  - ▶ Compute  $n$  points  $p_i = (x_i, p(x_i))$  for random  $x \in_R \mathbb{Z}_p$
  - ▶ Let  $R_i = \text{Hash}(p_i)$
- ▶ Transfer  $\mathbf{m_s} = (p_{s_1}, \dots, p_{s_k})$  obliviously to voting platform
- ▶ The voting platform performs the following steps:
  - ▶ Compute  $R_{s_i} = \text{Hash}(p_{s_i})$  and display them to the voter
  - ▶ Interpolate  $p(X)$  from  $\mathbf{m_s}$  and compute  $P = p(0)$
  - ▶ Send  $(C, P)$  to confirm vote
- ▶ Only if the both  $C$  and  $P$  are correct, the authorities respond with the finalization code  $F$

# Voting Protocol



# Discussion and Conclusion

# Performance

		This Paper	Scytl (VotefID'15)
Preparation	Authorities	$6N$	$(n + 2)N$
Vote Casting	Voting platform	$2k + 3$	$k + 10$
		$(k + 3)$	$(7)$
	Authorities	$n + k + 5$	11
		$(n + 1)$	$(0)$

# Conclusion

- ▶ Compared to existing approaches, our protocol ...
  - ▶ provides a conceptually more elegant solution based on OT
  - ▶ includes no cryptographic keys other than  $pk$
  - ▶ requires a single type of authority
  - ▶ is based on weaker trust assumptions
  - ▶ is equally efficient
- ▶ The protocol generalizes naturally to multiple authorities and to multiple (parallel)  $k$ -out-of- $n$  elections
- ▶ The protocol is compatible with the requirements of the Swiss Federal Chancellery
- ▶ The Canton of Geneva plans to implement it in CHvote

# Questions?

$N = ?$



Source: <https://en.wikipedia.org/wiki/Landsgemeinde>